

**Appendix A - Audit Plan Monitoring 2020/21
November 2020**

	Risk Area	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High risk Recommendations	Medium risk recommendations	Low risk recommendations
Completion of 19/20 audit plan										
Harlow and Gilston Garden Town	Corporate	12	Final report	■	■	■	Substantial	0	3	1
Data retention and disposals	Governance	12	Final report	■	■	■	Moderate	0	6	4
Parks and landscapes	Planning and Environment	15	Final report	■	■	■	Limited/Moderate	2	1	0
Capital projects programme	Finance	15	In progress	■						
Key Financial Controls - Fixed Assets	Finance	12	In progress	■						
Key Financial Controls - Treasury Management	Finance	10	Scoping							
2020/21 Audit Plan										
Payroll (light touch)	Governance	7	In progress	■						
Accounts Payable	Finance	12	In progress	■						
Provision of IT services to HTS	Housing	8	In progress	■						
IT disaster recovery	Finance	10	In progress	■						
Equality Act and Impact Statements	Governance	10	In progress	■						
Key Financial Controls - Housing Benefits	Finance	15								
HTS Best value review progress	Corporate	12								
Housing - overview of H&S inspections	Housing	15								
Planning and building control	Planning and Environment	12								
Financial Regulations and Contract Standing Orders - delegated authorities	Finance	10								
Not a specific audit										
Advice and guidance for the new HR system	Governance	3	In progress							
Business Continuity (and Covid-19 recovery)	Corporate	5	In progress							
Totals		148		10	3	3		2	10	5
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c/f carried forward										